

Decoding the Financial Statement ZIP File Naming Convention

The file naming convention and terminology are designed by the National Association of Insurance Commissioners (NAIC). While the OIC web site provides some identifying information about ZIP filings, this document is designed to help users decode the file names on their own.

- 1) The file name can vary in length but will have a suffix extension of **".ZIP"**.
- 2) The file name to the left of the **".ZIP"** extension consists of the following fields, which are delimited by an underscore ("**_**") character. The letters are not case-sensitive.
- 3) The general form of the file name is **cccc_vc_s_yyyy_f_d_vv_sa_ss_zz_p.ZIP**

Symbol	Meaning	Field Number (shown solely to help decode)
cccc	Company code (a unique five-digit number assigned by the NAIC).	1
vc	Vendor code. The vendor creates the software for preparing the files. The code number is assigned by the NAIC, but it is not important for viewing purposes.	2
s	Statement type code. This identifies the type of financial statement, which may be different from the business type of the company. For example, the health statement may be used by certain property and casualty or life insurers.	
	Code	Statement Type
	F	Fraternal
	L	Life, Accident and Health
	P	Property and Casualty
	T	Title
	X	Health
yyyy	Year of the data. For example, the December 31, 2005 Annual Statement supplements and audited financial statements are filed during 2006, but contain 2005 data.	4
f	Submission filing type code.	
	Code	Meaning
	O	Original filing
	A	Amendment filing—the filing contains pages that supercede the original filing.
	R	Refiling (should not appear because they are specific to the needs of the NAIC)
d	Submission group type code.	
	Code	Meaning
	M	March (the ANNUAL financial statement and supplements)
	A	April (the annual statement supplements that are due April 1)
	J	June (The AUDITED financial statements and supplements)
	1, 2 or 3	Show which quarter the financial statements include.
	S	Separate Accounts—applicable only to certain Life and Fraternal companies

Decoding the Financial Statement ZIP File Naming Convention

vv	Version number. These are set by the company and may not be sequential.		7
sa	Separate Account ID. Zero unless the Submission Group type code is "S".		8
ss	State Supplement Code. Has more significance with the PDF file names.		9
zz	ZIP file sequence number. Set by the company and may not be sequential.		10
p	The public disclosure indicator set by the OIC during its processing of a company's filing.		11
	<i>Code</i>	<i>Meaning</i>	
	N	Designated as containing public information	
	S	May contain non-public or personal information	
.ZIP	The file name extension identifies the file as a ZIP file.		

Examples of how to read the field information:

63177_10_L_2005_O_1_1_O_NA_1_N.ZIP

1. 63177 = the NAIC company code for Farmers New World Life Insurance Company.
 2. 10 = (not important for viewing purposes.)
 3. L = a Life financial statement.
 4. 2005 = contains data for 2005.
 5. O = an Original filing.
 6. 1 = a first quarter filing (combine with the year to know it's March 31, 2005).
- Fields 7 through 10 are not of significance for this decoding.
11. N = designated as a public document.

65105_10_x_2004_o_m_1_0_na_1_n.zip

1. 65105 = the NAIC company code for LifeWise Health Plan of Arizona.
 2. 10 = (not important for viewing purposes.)
 3. X = a Health financial statement--although a life and disability insurer, the company qualifies to file the health (X) financial statement.
 4. 2004 = contains data for 2004.
 5. O = an Original filing.
 6. M = a March filing (could contain the annual financial statements and/or supplements).
- Fields 7 through 10 are not of significance for this decoding.
11. N = designated as a public document.

50970_13_T_2004_O_J_1_00_NA_01_N.ZIP

1. 50970 = the NAIC company code for Pacific Northwest Title Insurance Company.
 2. 13 = (not important for viewing purposes.)
 3. T = a Title financial statement.
 4. 2004 = contains data for 2004.
 5. O = an Original filing.
 6. J = a June filing (could contain the audited financial statements and/or supplements).
- Fields 7 through 10 distinguish it from the company's other original June filing, **50970_13_t_2004_o_j_1_00_wa_01_n.zip**. Please refer to the PDF file name decoding to understand which documents are inside of ZIP files.
11. N = designated as a public document.